Action Taken Report (ATR)

Name of Research Analyst:	Sovereign Global Markets Private Limited
SEBI Registration No.:	INH000012263
BSE Enlistment No.:	5776
Entity Type:	Body Corporate
Financial Year:	2025 - 2026

Sr. No	Regulation	Particulars	Compliance Status	Reason for Non-Compliance	Auditor Comments	Action Taken on Adverse Findings
1	**Regulation 13 (ii)**	**Conditions of certificate:** The Research Analyst shall inform the Board in writing, if any information or particulars previously submitted to the Board are found to be false or misleading in any material particular or if there is any material change in the information already submitted.	Not Complied	There is a change in Principal Officer in October 2025. However, intimation for new Principal Officer was updated on August 2026. Thus, there was a delay in intimating changes in respect of details of Principal Officer.	Yes	Presently, we are inactive and are not providing any research Services. Hence, we humbly request to condone for the delay.

For Sovereign Global Markets Private Limited



Umesh Kumar Tulsyan
Director
DIN: 00966531